

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		Attorney Docket No. 8075-1007 U.S. Application No. <b>107528561</b>
INTERNATIONAL APPLN. NO. PCT/JP2003/012098	INTERNATIONAL FILING DATE September 22, 2003	PRIORITY DATE CLAIMED September 20, 2002
TITLE OF INVENTION: METHOD FOR PRODUCING ENDOHEDRAL FULLERENES AND DEVICE THEREFOR		
APPLICANT(S) FOR DO/EO/US: Rikizo HATAKEYAMA, Takamichi HIRATA, Kuniyoshi YOKOH, Yasuhiko KASAMA and Kenji OMOTE		
Applicant herewith submits to the United States Designated Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. See attached PCT/IB/308.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made, however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4))</li> <li>10. <input type="checkbox"/> A Declaration of Inventorship for purposes of U.S.A. designation pursuant to rule 4.17(iv). ))</li> <li>11. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p><b>Items 11 to 20 below concern document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>12. <input type="checkbox"/> Information Disclosure Statement (IDS) w/PTO-1449 - <input type="checkbox"/> Copy of IDS citations.</li> <li>13. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s)).</li> <li>14. <input checked="" type="checkbox"/> A preliminary amendment.</li> <li>15. <input checked="" type="checkbox"/> An Application Data Sheet under 37 C.F.R. 1.76.</li> <li>16. <input checked="" type="checkbox"/> Itemized Return Receipt Postcard 7</li> <li>17. <input type="checkbox"/> A substitute specification.</li> <li>18. <input type="checkbox"/> Power of Attorney and Statement under 37 CFR §3.73(b) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed Power of Attorney</li> <li>b. <input type="checkbox"/> A change of Power of Attorney and/or change of address letter.</li> </ol> </li> <li>19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li> <li>20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>21. <input type="checkbox"/> A second copy of the English language translation of the international application (35 U.S.C. 154(d)(4)).</li> <li>22. <input checked="" type="checkbox"/> Other items or information: <u>Form PCT/IB/308, Cover page of the International Publication and the International Search Report</u></li> </ol>		

U.S. APPLICATION NO. <b>10/528561</b>		INTERNATIONAL APPL. NO. PCT/JP2003/012098		ATTORNEY DOCKET NO. 8075-1007	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.  <input checked="" type="checkbox"/> The following fees are submitted:  <div style="text-align: center;"><b>PCT FEES - NATIONAL STAGE</b></div>				<b>CALCULATIONS PTO USE ONLY</b>	
<u><b>Fee Description</b></u>					
Basic National Stage Fee				<b>\$150.00</b>	
Non ISA/US Search Provided		National Stage Search Fee		\$0.00	
<input type="checkbox"/> US was the IPEA And all claims satisfied the provisions of PCT Article 33 (1)-(4)		National Stage Examination Fee		\$0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20- <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (Fee Code 1617/2617)				<b>\$65.00</b>	
National Stage Application size fee each additional 50 sheets in excess of 100  Fee Code 1681/2681		Additional Sheets <b>32 - 100 = 0 = _____ X</b> <div style="text-align: center; border-top: 1px solid black;">50</div>		Fee From Below <b>\$125.00</b>	\$0.00
<b>CLAIMS</b>		NUMBER FILED 4 - 3 =	NUMBER EXTRA 1	RATE x \$100.00	
Independent Claims Fee Codes 1614 / 2614				<b>\$100.00</b>	
Total Claims Fee Codes 1615 / 2615		19 - 20 =	0	x \$25.00	<b>\$0.00</b>
MULTIPLE DEPENDENT CLAIM(S) (if applicable) Fee Code 1616 / 2616				+ \$360.00	<b>\$0.00</b>
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).Fee Code 1618					<b>\$0.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). (Fee code 8021) <div style="text-align: right;">\$40.00 per property</div>				+	<b>\$0.00</b>
<b>TOTAL FEES ENCLOSED</b>				<b>=</b>	<b>\$ 315.00</b>
				Amount to be refunded:	\$
				Charged:	\$

☒ A check in the amount of **\$ 315.00** to cover the above fees is attached.  
  
☐ The Director is hereby authorized to charge indicated fees and credit any overpayment to Deposit Account No. 25-0120 in the name of Young & Thompson. A duplicate copy of this sheet is enclosed.  
  
☒ The Director is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17.

SEND ALL CORRESPONDENCE TO:  
 YOUNG & THOMPSON  
 745 South 23<sup>rd</sup> Street  
 Arlington, VA 22202  
 Telephone: (703) 521-2297  
 Facsimile: (703) 685-0573

**Y&T Customer No. 00466**

BC/lmt

SIGNATURE  
  
 Benoit Castel, Reg. No. 35,041  
 NAME, REGISTRATION NUMBER  
  
 March 21, 2005  
 DATE